



GSC COMPLAINT FORM

Please provide the Council with detailed information on any possible violation of the Securities Industry Act 1998 & Regulations 2002, and Anti-Money Laundering and Countering the Financing of Terrorism Act 2009 and Amendments thereto.

If you wish to make an Anonymous tip, please check the box below and complete part 2.0 and 2.1:

I WISH TO REMAIN ANONYMOUS

***Please note that submission of an anonymous tip may hinder the thoroughness of the investigation and may prohibit the GSC from fully pursuing a complaint against any person and/or company.*

1.0 PERSONAL INFORMATION

FULL NAME	
ADDRESS	
CITY	
TELEPHONE NUMBER	
E-MAIL	

2.0 INFORMATION ON THE COMPANY OR INDIVIDUAL YOU HAVE A COMPLAINT AGAINST

NAME OF COMPANY/INDIVIDUAL	
ADDRESS	
CITY	
COUNTRY	

2.1 NATURE OF COMPLAINT

Please describe your complaint in as much detail as possible, including the full name(s) on the account, the exact type of account, the dates of specific transactions or conversations, the security(ies) involved, and the names of persons involved.

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DO YOU HAVE ANY DOCUMENTS IN SUPPORT OF YOUR COMPLAINT?

YES

NO

Please submit this complaint form and any attachments to gsc@guyanasecuritiescouncil.com or deliver to the Office of the Guyana Securities Council at Lot 34 King Street, Lacytown, Georgetown.