Securities Industry

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[Subsidiary]

Securities Industry (Registration of Market Participants) Regulations

reg. 21

SECOND SCHEDULE

REGISTRATION FEES

Securities Intermediary

On every application for a registration as a securities intermediary to carry on business as a -

(a) broker	\$100,000
(b) dealer	\$100,000
(c) trader	\$100,000
(d) underwriter	\$200,000
(e) investment adviser	\$150,000

Securities Company

On every application for a registration as a securities company to carry on business as a -

(a) broker	\$100,000
(b) dealer	\$100,000
(c) underwriter	\$200,000
(d) investment adviser	\$150.000

Representative

On every application for a registration as a -

(a) securities intermediary's representative	\$20,000
(b) securities company's representative	\$20,000