

reg. 21

**SECOND SCHEDULE**

**REGISTRATION FEES**

**Securities Intermediary**

On every application for a registration as a securities intermediary to carry on business as a -

- (a) broker.....\$100,000
- (b) dealer.....\$100,000
- (c) trader.....\$100,000
- (d) underwriter.....\$200,000
- (e) investment adviser .....\$150,000

**Securities Company**

On every application for a registration as a securities company to carry on business as a -

- (a) broker.....\$100,000
- (b) dealer.....\$100,000
- (c) underwriter.....\$200,000
- (d) investment adviser.....\$150,000

**Representative**

On every application for a registration as a -

- (a) securities intermediary's representative.....\$20,000
- (b) securities company's representative.....\$20,000